10/0095/0

Page 1 of 1

Form PTO-14	49	U.S. DEPARTME	NT OF COMMERC			SERIAL NO.				
(MODIFIED) PATENT AND TRADEMARK OFFICE					Una	Unassigned				
			0.717.65	APPLICANT Iain Alasdair DONALDSON et al.						
INFO	ORMATI	ON DISCLOSURE	CITATION							
				FILING DATE	•	GROUP AR				
	(Use se	everal sheets if nece	ssary)	12/12/	2001	1638 _{Una}	ssigned	±-		
		S Salary or - Sala	U.S. PAT	ENT DOCUMENTS				į		
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	. 1	DATE F PRIATE		
	i i					<u> </u>	İ			
							Ī			
				,			 			
· · · · · · · · · · · · · · · · · · ·	i					 	 	i		
	· · ·	-				İ	 	i		
				A A			<u> </u>			
•			FOREIGN P	ATENT DOCUMENT	S					
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	YES	LATION NO		
CC	A1	10062	3/12/1998	WIPO						
		OTHER DOCU	MENTS (Includin	g Author, Title, Date, I	Pertinent Pages,	Etc.)				
ec	A2	HUANG et al., "Col Their Expression,"	mplete Sructures o Bioscience Biotech	f Three Rice Sucrose Sy nnology and Biochemistr	ynthase Isogenes a ry 60(2):233-239 (1	and Differenti 1996).	al Regula	tion of		
	A3	WANG et al., "A Co 19(5):881-885 (199		of the Rice Sucrose Syr	nthast-1 RSS1 Ger	ne," <i>Plant Mo</i>	lecular Bio	ology		
	A4	WING et al, "A BAC (1998) EMBL Heid		Framework to Sequence Abstract).	e the Rice Genome	e," Database	EMGSS3	'Online!		
J	A 5 ●	"Original TA Clonin	ig Kits, pCR2.1 vec	tor" Invitrogen Catalogu	e, page 7, 1997.					
	Λ			. <u> </u>				· · ·		
EXAMINER		nthia (llive	DATE CONSID	DERED 12/2/	14		;		
line		Vinitial if citation citation if not in ion to applicant.	considered, wh conformance a	ether or not citation and not considered.	is in conforma Include any co	nce with N opy of this	IPEP 609 form wi); Draw th next		

PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Feduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

number 7	Substitute for fo	orm 1449B	/PTO	Complete if Known			
	INFORMATION	DISCLO	SURE	Application Numb r	10/009,570		
	STATEMENT E	Y APPLI	CANT	Filing Dat	12/12/2001		
•	Data Caharittada	1	2002	First Named Inv ntor	lain Alasdair DONALDSON et al.		
	Date Submitted:	January	9, 2003	Group Art Unit	Unassigned 638		
*	(use as many she	ets as ne	cessary)	Examiner Name	Unassigned C. COLLINS		
Sheet	1	of	3	Attorney Docket Number	078883-0139		

				U.S. PATENT DOCUMENTS		Pages, Columns, Lines,
Examiner nitials*	Cite No. ¹	U.S. Patent Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
· 0c	A1	3,817,837	1	RUBENSTEIN ET AL.	06/18/1974	
	A2	3,850,752	7.7	SCHUURS ET AL.	11/26/1974	L-55
	Ä3	3,996,345	1	ULLMAN ET AL.	12/07/1976	RECFIVE
-	A4	4,277,437		MAGGIO	07/07/1981	
	Ā5	4,275,149	-	LITMAN ET AL.	06/23/1981	L JAN 1 0 2000
	A6	4,366,241	T- T-	TOM ET AL.	12/28/1982	1 0 × 1 × 1 × 2003
	Ā7	4,816,567		CABILLY ET AL.	03/28/1989	TECH CENTER 1600

				F	OREIGN PATENT DOCUMEN	TS		
Examiner Initials*	Cite No.1	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)		Kind Code ⁵	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
(C	A8	CA	2,006,454		ROCHA-SOSA ET AL.	06/21/1990		
	A9	wo	99/50399	A2	DANISCO A/S	10/07/1999		Ш-!
	A10	wo	96/29416	A1	DANISCO A/S	09/26/1996		41
	A11	wo	94/24292	A2	DANISCO A/S	0/27/1994		
	A12	wo	95/10616	A2	DANISCO A/S	04/20/1995		
	A13	wo	96/01323	A1	DANISCO A/S	01/18/1996		$\perp \!\!\! \perp \!\!\! \perp$
-	A14	wo	96/29415	A1	DANISCO A/S	09/26/1996		
- - 	A15	wo	98/54335	A1	DANISCO A/S	12/03/1998		Ш.,
	A16	WO	97/03574		DANISCO A/S	02/06/1997		
	A17	wo	94/25575	A1	NOVO NORDISK A/S	11/10/1994		11
T W-	A18	WO	97/31102	A1	NOVO NORDISK A/S	08/28/1997		
L				🕎	 			

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of t item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue numbe publisher, city and/or country where published.							
GC	A19	BLOOMFIELD, "Condensation of DNA by Multivalent Cations: Considerations on Mechanism", <i>Biopolymers</i> (1991), Vol. 31, pp. 1471-1481.							
	A20	DONOVAN ET AL., "The Growth of Detached Wheat Heads in Liquid Culture," Plant Science Letters (1977), Vol. 9, pp. 107-113.							
	A21	KIKKERT, "The Biolistic ® PDS-1000/He device," Plant Cell Tissue and Organ Culture (1993), Vol. 33, pp. 221-226.							

		/	<u> </u>	 	
Examiner Signature	within		Allins	Date Considered	12/2/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid DMB control Substitute for form 1449B/PTO Complete if Known 10/009,570 Application Numb r WIFORMATION DISCLOSURE STATEMENT BY APPLICANT 12/12/2001 Filing Dat Iain Alasdair DONALDS First Nam d Inventor ate Submitted: January 9, 2003 Group Art Unit Unassigned use as many sheets as necessary Examiner Name Unassigned 2 **Attorney Docket Number** 078883-0139

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	_			
Examiner Initials*	Cite No. ¹					
CC	A22	KNUDSEN ET AL., "Transformation of the developing barley endosperm by particle bombardment," <i>Planta</i> (1991), Vol. 185, pp. 330-336.				
	A23	SIEBERT ET AL., "An improved PCR method for walking in uncloned genomic DNA," Nucleic Acids Research (1995), Vol. 23, No. 6, pp. 1087-1088.	 			
	A24	COPELAND, "Enzymes of Sucrose Metabolism," <i>Methods in Plant Biochemistry, Vol.</i> 3 (1990), pp. 73 – 85, Academic Press Limited.	† : {			
	A25	DÖRMANN ET AL., "The role of UDP-glucose epimerase in carbohydrate metabolism of Arbidopsis," The Plant Journal (1998), Vol. 13, No. 5, pp. 641-652.				
	A26	FINER ET AL., "Development of the particle inflow gun for DNA delivery to plant cells," Plant Cell Reports (1992), Vol. 11, pp. 323-328.	+ -			
_	A27	JEFFERSON, "Assaying Chimeric Genes in Plants: The GUS Gene Fusion System," Plant Molecular Biology Reporter (1987), Vo. 5, No. 4, pp. 387-405.	- 			
	A28	KARRER ET AL., "Metabolic regulation of rice α-amylase and sucrose synthase genes in planta," The Plant Journal (1992), Vol. 2, No. 4, pp. 517-523.	 			
	A29	KLEIN ET AL., "High-velocity microprojectiles for delivering nucleic acids into living cells," Nature (1987), Vol. 327, pp. 70 – 73.				
}	A30	KLEIN ET AL., "Transfer of foreign genes into intact maize cells with high-velocity microprojectiles," Proc. Natl. Acad. Sci. USA (1988), Vol. 85, pp. 4305-4309.	- 			
	A31	LOPES ET AL., "Endosperm Origin, Development, and Function," The Plant Cell (1993), Vol. 5, pp. 1383-1399.	-			
	A32	SANFORD ET AL., "Delivery of Substances into Cells and Tissues Using a Particle Bombardment Process," Particulate Science and Technology (1987), Vol. 5, No. 1, pp. 27-37.				
	A33	THOMAS, "Gene Expression during Plant Embryogenesis and Germination: An Overview," The Plant Cell (1993), Vol. 5, pp. 1401-1410.				
	A34	VAIN ET AL., "Development of the Particle Inflow Gun," Plant Cell, Tissue and Organ Culture (1993), Vol. 33, pp. 237-246.	<u>_</u> -			
	A35	WEST ET AL., "Embryogenesis in Higher Plants: An Overview," The Plant Cell (1993), Vol. 5, pp. 1361-1369.				

	<u>//</u>	<u> </u>	·	
Examiner Signature	within (Allins	Date Considered	12/2/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid QMB control

	penalber.				
٠ć	Substitute for form 14	449B/PTO		Compl te if Known	LCEN)
	in FORMATION DIS	CLOSURE	Applicati n Numb r	10/009,570	104
	a Q TOUS STATEMENT BY AF	PPLICANT	Filing Dat	12/12/2001	702
	Date Submitted: Janu	.on. 0. 2002	First Nam d Inv ntor	lain Alasdair DONAL	Motal. <00
١,	Date Submitted, Janu	lary 9, 2003	Group Art Unit	Unassigned	CIVIFO
	se as many sheets a	s necessary)	Examiner Name	Unassigned	1600/202
1	Sheet 3	of 3	Attorney Docket Number	078883-0139	3900

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т ⁶
4	A36	WHISTLER ET AL., Guar: Agronomy, Production, Industrial Use, and Nutrition (1979), Purdue University Press, West Lafayette, Indiana.	
	A37	BÄUMLEIN ET AL., "Cis-analysis of a seed protein gene promoter: the conservative RY repoeat CATGCATG within the legumin box is essential for tissue-specific expression of a legumin gene," <i>The Plant Journal</i> (1992), Vol. 2, No. 2, pp. 233-239.	
	A38	JOSHI, "An inspection of the domain between putative TATA box and translation start site in 79 plant genes," Nucleic Acids Research (1987), Vol. 15, No. 16, pp. 6643-6653.	
	A39	MARZABAL ET AL., "The bifactorial endosperm box of γ-zein gene: characterisation and function of the Pb3 and GZM cis-acting elements," The Plant Journal (1998), Vol. 16, No. 1, pp. 41-52.	
	A40	MULLER ET AL., "The nitrogen response of a barley C-hordein promoter is controlled by positive and negative regulation of the GCN4 and endosperm box," <i>The Plant Journal</i> (1993), Vol. 4, No. 2, pp. 343-355.	- -
	A41	SIMPSON ET AL., "Splicing of precursors to mRNA in higher plants: mechanism regulation and sub- nuclear organisation of the spliceosomal machinery," <i>Plant Molecular Biology</i> (1996), Vol. 32, pp. 1 – 41.	
\forall			

	/r	/		
Examiner Signature	unthia (Delling	Date Considered	12/2/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. ⁴Applicant is to place a check mark here if English tanguage Translation is attached.